

3624  
JRW

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

Argenbright (SILV.P01)

Serial No. 09/910,964

Group Art Unit: 3624

Filed: July 20, 2001

Examiner: Campen

For: Online Investment Trust Creation and Management

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant wishes to bring the references listed on the enclosed PTO/SB/08 to the attention of the Patent and Trademark Office relative to this application.

No Office Action on the merits has yet been received by Applicant. Accordingly, the filing of this Statement is timely.<sup>1</sup>

A Petition to Make Special has been filed in this application. The undersigned is not aware of any decision on that petition as of today.

Copies of the references are enclosed. All of these references are in the English language. As such, no additional statement of relevance is provided in this paper.<sup>2</sup>

By citing these references, Applicant does not admit that any of these references are, or are considered to be, material to the patentability of any of the claims of this application.<sup>3</sup>

---

<sup>1</sup> 37 C.F.R. §1.97(b)(3).

Consideration of this information in the prosecution of this application is respectfully requested.

Respectfully submitted,



Rodney M. Anderson

Registry No. 31,939

Attorney for Applicant

Anderson, Levine & Lintel, L.L.P.

14785 Preston Road, Suite 650

Dallas, Texas 75254

(972) 664-9554

**CERTIFICATE OF MAILING**

37 C.F.R. 1.8

The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

on September 23, 2004.



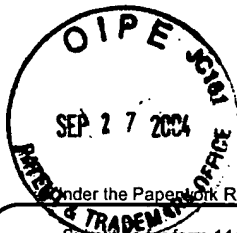
Rodney M. Anderson

Registry No. 31,939

---

<sup>2</sup> 37 C.F.R. §1.98(a)(3)(i).

<sup>3</sup> 37 C.F.R. §1.97(h).



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

## Complete if Known

|                        |              |
|------------------------|--------------|
| Application Number     | 09/910,964   |
| Filing Date            | 20 July 2001 |
| First Named Inventor   | Argenbright  |
| Art Unit               | 3624         |
| Examiner Name          |              |
| Attorney Docket Number | SILV.P01     |

Sheet 1 of 1

### U. S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|--|--------------------------------|---|---|
|                    |                       | Number-Kind Code <sup>2</sup> (if known) |                                |   |   |
|                    |                       | US- 2002/0035489 A1                      | 03/21/2002                     | Herman et al.                                   | all   |
|                    |                       | US- 2002/0116306 A1                      | 08/22/2002                     | Sheem   | all   |
|                    |                       | US- 2003/0055763 A1                      | 03/20/2003                     | Linnenbringer et al.                            | all   |
|                    |                       | US- 2003/0225692 A1                      | 12/04/2003                     | Bosch et al.                                    | all   |
|                    |                       | US- 2004/0088236 A1                      | 05/06/2004                     | Manning   | all   |
|                    |                       | US- 2004/0162775 A1                      | 08/19/2004                     | Winklevoss et al.                               | all   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |
|                    |                       | US-                                      |                                |   |   |

### FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|---|---|----------------|
|                    |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |
|                    |                       |   |                                |   |   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.